

JCWSCS 17 JUN 2004

JUN-17-04 14:23 From:MCDONNELL BOEHNNEN HULBERT & BERGHOFF

3129130002

T-397 P.01/07 Job-416

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(MBHB Case No. 02-184-C)

In re Application of:

Michael J. Burns and John Harris

Serial No.: 10/804,631

Filed: March 19, 2004

For: System and Method for Estimating  
a Spread Value

Group Art Unit: 3628

Examiner: TBA

Fax No.: 703-746-9195

No. of Pages: 7

**FACSIMILE TRANSMITTAL LETTER**

Commissioner for Patents  
Office of Initial Patent Examination  
Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a) Request for Corrected Filing Receipt
- b) Copy of Filing Receipt with Changes Noted Thereon
- c) Supplemental Application Data Sheet

2. With respect to fees:

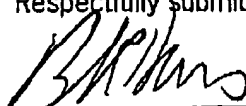
- a) No fees are required.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being transmitted to the USPTO facsimile number 703-746-9195, according to 37 CFR 1.6(d) addressed to: Commissioner for Patents, Office of Initial Patent Examination, Filing Receipt Corrections, P.O. Box 1450, Alexandria, VA 22313-1450 on June 17, 2004.

Respectfully submitted,

Date: June 17, 2004

By:

  
Brian R. Harris  
Reg. No. 45,900

McDonnell Boehnen Hulbert & Berghoff LLP  
300 South Wacker Drive  
Chicago, IL 60606  
(312) 913-0001

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## PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(MBHB Case No. 02-184-C)

In re Application of: )  
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Michael J. Burns and John Harris )  
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Serial No.: 10/804,681 ) Group Art Unit: 3628  
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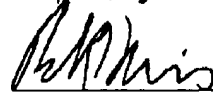
**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Office of Initial Patent Examination  
Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit a Supplemental Application Data Sheet ("ADS") in order to have the complete chain of priority information for this application listed on the filing receipt. This application is a CIP of 10/137,979. This priority information was included in the initial ADS and is listed on the official filing receipt. This Supplemental ADS additionally indicates that 10/137,979 is a non-provisional of 60/361,958, thereby reflecting the complete chain of priority information for this application. Applicants request the issuance of a corrected filing receipt adding this information and thereby reflecting the full chain of priority information for this application. If the office has any questions regarding this request, Applicants' attorney, Brian Harris, may be reached at his direct dial number of 312-913-3303.

Respectfully Submitted,



Brian R. Harris  
Reg. No. 45,900

Dated: June 17, 2004



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (c) DATE	PART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/804,631	03/19/2004	3628	484	02-184-C	17	31	3

39310  
MBHB/TRADING TECHNOLOGIES  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60606

CONFIRMATION NO. 7851

## FILING RECEIPT

10/804,631 03/19/2004 3628 484 02-184-C 17 31 3  
"OC000000012852082"

Date Mailed: 06/03/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9196. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael J. Burns, Chicago, IL;  
John Harris, Chicago, IL;

## Assignment For Published Patent Application

Trading Technologies International, Inc.;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/137,979 05/03/2002

10/137,979 CLAIMS BENEFIT OF 60/361,958 03/05/02

## Foreign Applications

If Required, Foreign Filing License Granted: 06/02/2004

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

System and method for estimating a spread value

Preliminary Class  
705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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